

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/722,178	11/25/2003	James Stephen Mason	GB920020058US1	7003
50548 7	590 01/25/2006	EXAMINER		INER
ZILKA-KOTAB, PC			nguyen, hai l	
P.O. BOX 721120 SAN JOSE, CA 95172-1120			ART UNIT	PAPER NUMBER
SAN JOSE, C	A )5172-1120		2816	
			DATE MAILED: 01/25/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Office Action Summans	10/722,178	MASON, JAMES STEPHEN				
Office Action Summary	Examiner	Art Unit				
	Hai L. Nguyen	2816				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 04.	1)⊠ Responsive to communication(s) filed on <u>04 January 2006</u> .					
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ Th	2a) This action is <b>FINAL</b> . 2b) This action is non-final.					
3) Since this application is in condition for allow	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1-18</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5)⊠ Claim(s) <u>4-7</u> is/are allowed.						
6) Claim(s) 1,2 and 8-16 is/are rejected.						
7) Claim(s) 3,17 and 18 is/are objected to.						
8) Claim(s) are subject to restriction and/	or election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10)⊠ The drawing(s) filed on <u>04 January 2006</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner.						
Applicant may not request that any objection to the	e drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the corre	ction is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).				
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a)⊠ All b)□ Some * c)□ None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date						
2)   Notice of Draftsperson's Patent Drawing Review (PTO-948)   Paper No(s)/Mail Date   3)   Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)   5)   Notice of Informal Patent Application (PTO-152)						
Paper No(s)/Mail Date	6) Other:	, , ,				
U.S. Patent and Trademark Office PTOL-326 (Rev. 7-05)  Office A	Action Summary Pa	rt of Paper No./Mail Date 20060110				

#### **DETAILED ACTION**

### Response to Amendment

1. The amendment received on 01/04/2006 has been reviewed and considered with the following results:

As to the objection to the drawings, Applicant's revision of the drawings has overcome the objection, as such; the objection has been withdrawn.

The prior art rejections to the claims made in the previous Office Action mailed on 10/04/2005 are now withdrawn in view of Applicant's amendments. Applicant's amendments and arguments have been considered but are most in view of a new action on the merits appears below.

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1, 2, 8, 10, 12, and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Arai et al. (US 6,320,470).

With regard to claims 1 and 10, Arai et al. discloses in Fig. 1 a semiconductor phased locked loop filter, and a method of use thereof, having a passive capacitor part (16) and an active resistor part (17, 18); the active resistor part being integrated with the passive capacitor (see column 1, lines 1-12).

Art Unit: 2816

With regard to claims 2, 8, 10, and 12, the reference also meets the recited limitations in these claims.

With regard to claim 16, the active resistor part is controlled by a regulator circuit, wherein the regulator circuit comprises a current source (11, 13) and a voltage source (VP, VN).

#### Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1, 2, 8-11, and 13-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over the admitted prior art (APA), Fig. 1 in the present application, in view of Nguyen et al. (US 6,437,639).
- 6. With regard to claims 1 and 10, the admitted prior art in Fig. 1 shows a semiconductor Type Two phased locked loop filter, and a method of use thereof, having a passive capacitor part (C1, C2) and an resistor part (R1). Fig. 1 of the prior art meets all the claimed limitations except that the resistor part is a passive resistor instead of an active resistor as recited in the claim. Nguyen et al. (US 6,437,639) teaches in Figs. 6-7 a filter circuit having active resistor, which is integrated with the passive capacitor (C1, C2), as a variable resistance device. Therefore, it would have been obvious to one of ordinary skill in the art at the time of applicant's invention was made to utilize that teaching of Nguyen et al. in the filter circuit of the APA (Fig. 1 in the present application) by replacing the passive resistor of APA with the active resistor taught by

Nguyen et al. for the advantage of being able to save space of the circuit. Since the active semiconductor devices such as field effect transistors are utilized as the resistive elements of the filter, such an implementation utilizes very little area.

With regard to claims 2 and 8, the references (Nguyen et al.) also meet the recited limitations in these claims.

With regard to claim 9, the admitted prior art in Fig. 1 shows a semiconductor phased locked loop system comprising a charge pump; a voltage controller oscillator; and a Type Two filter comprising a passive capacitor part (C1, C2) and a resistor part (R1). Fig. 1 of the APA meets all the claimed limitations except that the resistor part is a passive resistor instead of an active resistor as recited in the claim. Nguyen et al. teaches in Figs. 6-7 a filter circuit having active resistor, which is integrated with the passive capacitor (C1, C2), as a variable resistance device. Therefore, it would have been obvious to one of ordinary skill in the art at the time of applicant's invention was made to utilize that teaching of Nguyen et al. in the filter circuit of the APA (Fig. 1 in the present application) by replacing the passive resistor of APA with the active resistor taught by Nguyen et al. in order to save space. Since the active semiconductor devices such as field effect transistors are utilized as the resistive elements of the filter, such an implementation utilizes very little area of the integrated circuit for the same value of resistance.

With regard to claim 11, the references (Nguyen et al.) also meet the recited limitation in the claim. Since there is no special step for manufacturing of resistor components is disclosed.

With regard to claims 13-15, the references (Fig. 1 of the APA) also meet the recited limitation in these claims.

Application/Control Number: 10/722,178 Page 5

Art Unit: 2816

### Allowable Subject Matter

7. Claims 4-7 are allowed.

8. Claims 3, 17, and 18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The prior art of record fails to disclose or fairly suggest a semicondutor Type Two phased locked loop filter, as recited in claim 4, having specific structural limitations such as a regulator circuit (U1, U2, T2, Iref), wherein the Type Two phased locked loop filter operates from a voltage (From Charge Pump/ To VCO) and the active resistor part (T1) is controlled by a regulator circuit operating from a voltage (Vref) that follows the type two phased locked loop voltage, and being configured in combination with the rest of the limitations of the base claims and any intervening claims.

Claims 3, 17, and 18 are allowed for similar reasons; note the above discussion with regard to claim 4.

#### Conclusion

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hai L. Nguyen whose telephone number is 571-272-1747. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Callahan can be reached on 571-272-1740. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/722,178 Page 6

Art Unit: 2816

10. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

HLN January 12, 2006

PERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800